

REQUEST FOR PROPOSAL

Date of issue:	11 th September 2024.
Contract title:	Mid-term evaluation
Closing date:	25 th September 2024 at 5:30pm
Contracting Authority:	DanChurchAid; Uganda Country Office, Plot 7 Binayomba Road, Contact Person. elsi@dca.dk

DANCHURCHAID INVITES CANDIDATES TO SUBMIT PROPOSALS FOR CONSULTANCY SERVICES TO CONDUCT MID-TERM EVALUATION OF INCREASING OPPORTUNITIES FOR YOUTH PARTICIPATION AND EMPOWERMENT (IOYPE) IN KARAMOJA.

Dear Sir/Madam,

The Service required is to obtain a consultant to conduct a mid-term evaluation of Increasing Opportunities for Youth Participation and Empowerment (IOYPE) in Karamoja. Please find enclosed the following documents which constitute the Request for Proposal:

A - Instructions

B - Draft Contract including annexes

Annex 1: Terms of Reference

Annex 2: Organisation and Methodology Form (to be completed by the Candidate)

Annex 3: Proposal Submission Form (to be completed by the Candidate)

Annex 4: General Terms and Conditions for Service Contracts - Ver3 2020

Annex 5: Code of Conduct for Contractors

The DanChurchAid hereby invites both technical and financial proposals (RFP) from individual consultants and consulting firms/institutions/organizations.

(i) An individual consultant can submit proposal.

The consultant may at his/her own discretion engage some team members to work with him/her to deliver the final product. In this case, the consultant will:

- remains fully and solely responsible for and accountable to DCA for the timeliness and quality of all the outputs delivered.
- submits a technical proposal reflecting, among others, the signed CVs of the consultant and his/her selected team members indicating their experience and track records in similar undertakings. The technical proposal must specify work assignment for the Team Leader and each consultant.
- submits a financial offer with an all-inclusive price occurred to deliver the final product(s) with break-down detail cost for each consultant.

(ii) **Consulting firms**, institutions, organizations can submit proposals (both technical and financial) with CVs of the proposed team.

If this document is a PDF format, upon request, a complete copy of the above documents can be forwarded in a WORD format for electronic completion. It is forbidden to make alterations to the text.

We should be grateful to be informed by email of the intention to submit or not a proposal.

A. INSTRUCTIONS

In submitting a proposal, the Candidate accepts in full and without restriction the special and general conditions including annexes governing this Contract as the sole basis of this procedure, whatever his own conditions of services may be, which the Candidate hereby waives. The Candidates are expected to examine carefully and comply with all instructions, forms, contract provisions and specifications contained in this Request for Proposal.

A.1. Scope of services

The Services required by the Contracting Authority are described in detail in the Terms of Reference in **Annex 1**. The Candidate shall offer the totality of the Services described in the Terms of Reference. Candidates offering only part of the required Services will be rejected.

A.2. Cost of proposal

The Candidate shall bear all costs associated with the preparation and submission of his proposal and the Contracting Authority is not responsible or liable for these costs, regardless of the conduct or outcome of the process.

A.3. Eligibility and qualification requirements

Candidates are not eligible to participate in this procedure if they are in one of the situations listed in article 33 of the General Terms and Conditions for Service Contracts - Ver3 2020.

Candidates shall in the Proposal Submission Form attest that they meet the above eligibility criteria. If required by the Contracting Authority, the Candidate whose proposal is accepted shall further provide evidence satisfactory to the Contracting Authority of its eligibility.

Candidates are also requested to certify that they comply with the Code of Conduct for Contractors.

As a rule, the timely arrival of a proposal with the Contracting Authority is the Candidate's responsibility. Irrespective of the reason, proposals arriving after the deadline for the submission of proposals, will be considered late and thus rejected.

A.4. Exclusion from award of contracts

Contracts may not be awarded to Candidates who, during this procedure:

- (a) are subject to conflict of interest
- (b) are guilty of misrepresentation in supplying the information required by the Contracting Authority as a condition of participation in the Contract procedure or fail to supply this information

A.5. Documents comprising the Request for Proposal

The Candidate shall complete and submit the following documents with this proposal:

- a. Proposal Submission Form (Annex 3) duly completed and signed by the Candidate
- b. Organisation and Methodology using the structure in Annex 2

- c. CV. highlighting the Candidate's experience in the specific field of the Services and his/her specific experience in the country/region where the Services are to be performed.
- d. Outlining experience of the firm/ consultant (Please provide a minimum of two concrete samples of similar work done for other organizations).

The proposal and all correspondence and documents related to the Request for Proposal exchanged by the Candidate and the Contracting Authority must be written in the language of the procedure, which is English.

A.6. Financial proposal

The Financial Proposal shall be presented as an amount in **Uganda Shillings** in the Proposal Submission Form in Annex 3. The remuneration of the Candidate under the Contract shall be determined as follows:

Global price: The Candidate shall indicate in his/her proposal his/her proposed global remuneration for the performance of the Services. The Candidate shall be deemed to have satisfied himself/herself as to the sufficiency of his/her proposed global remuneration, to cover both his/her fee rate, including overhead, profit, all his/her obligations, sick leave, overtime and holiday pay, taxes, social charges, etc. and all expenses (such as transport, accommodation, food, office, etc.) to be incurred for the performance of the Contract. The proposed global remuneration shall cover all obligations of the successful Candidate under the Contract (without depending on actual time spent on the assignment) and all matters and things necessary for the proper execution and completion of the Services and the remedying of any deficiencies therein.

VAT and/or any sales tax applicable to the purchase of services shall be indicated separately in the proposal.

A.7. Candidate's proposed personnel

In the Organisation and Methodology Form, Annex 2, the Candidate shall include a detailed description of the role and duties of each of the key experts or other non-key experts, which the Candidate proposes to use for the performance of the Services. The key experts are those whose involvement is considered instrumental in the achievement of the contract objectives. The CV of each key expert shall be provided highlighting his/her experience in the specific field of the Services and his/her specific experience in the country/region where the Services are to be performed. The Candidate whose proposal is accepted shall provide, if so, requested by the Contracting Authority, copies of diplomas /degrees and employers' certificates or references proving the key experts' education, professional experience and language proficiency.

In the Proposal Submission Form, Annex 3, the Candidate shall provide detailed information about key experts' actual availability for the performance of the Contract.

If, before the signing of the Contract, a key expert proposed in the proposal is no longer available the Candidate shall inform the Contracting Authority immediately and the proposal will in such case be considered invalid.

A.8. Subcontractors

If the Consultant intends to use subcontractors, the Consultant shall state in the Organisation and Methodology Form, Annex 2, their names, qualifications, role and duties in the performance of the Contract and the Consultant shall specify the parts of the Services which will be executed by the subcontractors, which may not exceed 30% of the Contract. Provisions of article “Candidate’s proposed personnel” concerning the Candidate’s personnel and the article concerning eligibility and qualification requirements shall apply to the subcontractors or the subcontractor’s personnel.

A.9. Validity

Proposals shall remain valid and open for acceptance for 60 days after the closing date. During this period, the Service Provider/ Consulting Firm is expected to keep available the professional staff for the assignment. DCA will make its best effort to complete negotiations and determine the award within the validity period. If DCA wishes to extend the validity period of the proposals, the Service Provider/ Consulting Firm has the right not to extend the validity of the proposals.

A.10. Submission of proposals and closing date

Proposals must be received at the address mentioned on the front page by email not later than the closing date and time specified on the front page.

A.11. Evaluation of Proposals

The evaluation method will be the quality and cost-based selection (QCBS). A two-stage procedure shall be utilised in evaluating the Proposals, a technical evaluation and a financial evaluation.

Proposals will be ranked according to their combined technical (*St*) and financial (*Sf*) scores using the weights of 75% for the Technical Proposal; and 25% for the offered price. Each proposal’s overall score shall therefore be $St \times 75\% + Sf \times 25\%$.

Technical evaluation

For the evaluation of the technical proposals, the Contracting Authority shall take the following criteria into consideration, with the indicated weights:

A two-stage procedure is utilized in evaluating the proposals, with evaluation of the technical proposal being completed prior to any price proposal being compared. Only the price proposal of the candidates who passed the minimum technical score of 70% in the technical qualification evaluation will be evaluated.

Evaluation Criteria	Max. points obtainable
General Understanding of assignment /Terms of Reference <ul style="list-style-type: none">• The consultant clearly understands the requested tasks (understanding of the objective (s) of the assignment and a description of how the consultant proposes to undertake the assignment)• Completeness of Proposal: the important aspects of the task have been addressed in sufficient detail.	10 points

<ul style="list-style-type: none"> The scope of tasks is well defined and correspond to the Terms of Reference. 	
<p>Technical Feasibility of proposed methodology and Workplan A description of the approach of execution which the consultant proposes to undertake.</p> <ul style="list-style-type: none"> Adequacy and quality of the proposed methodology, data collection techniques and tools Detailed, logical and realistic workplan which includes clear timelines in undertaking the assignment. Degree to which the proposal demonstrates an understanding of the tasks Degree to which the terms of reference have been addressed in enough detail and an appropriate methodology suggested. A clear and appropriate data analysis plan . Any further value addition within the proposal towards the stated evaluation outcomes. Reliability and validity of the proposed data collection instruments included. Please provide a minimum of two concrete samples of similar work done for other organizations) 	25 points
<p>Experience of the consultant (s)</p> <ul style="list-style-type: none"> Previous experience in conducting project evaluations (baseline, mid-term and endline). No. of similar assignments successfully completed during last 3 years in any Sector other than Relevant Sector. No. of similar assignments successfully completed during last 3 years in Relevant sector. Experience in similar assignments, including evaluating an EU project in the Uganda context. Proven ability to liaise with and manage a broad range of diverse stakeholders: Summary resume(s) of key staff who will work on the assignment. <p><i>Curriculum vitae and certificates must be attached</i></p>	25 points
<p>Consultant(s) qualification and Competencies</p> <ul style="list-style-type: none"> Team composition (overall team composition is balanced and has an appropriate skill mix, right input, and clear roles of experts). The team members meet the requirements listed in the Terms of Reference, which should be detailed in a CV of no more than 3 pages for each individual. Team Leader: Relevant qualifications and experience of Team Leader. The lead consultant meets the requirements listed in the Terms of Reference, which should be detailed in a CV of no more than 3 pages. <p><i>Academic Certificates of the consultants must be attached.</i></p>	15 points
Financial Proposal	25

Interviews

The Contracting Authority reserves the right to call to interview the Candidates having submitted proposals determined to be substantially responsive.

Financial evaluation

Each proposal shall be given a financial score. The lowest Financial Proposal (Fm) will be given a financial score (Sf) of 100 points. The formula for determining the financial scores shall be the following:

$Sf = 100 \times Fm/F$, in which

Sf is the financial score

Fm is the lowest price and

F is the price of the proposal under evaluation

Negotiations

The Contracting Authority reserves the right to contact the Candidates having submitted proposals determined to be substantially and technically responsive, to propose a negotiation of the terms of such proposals. Negotiations will not entail any substantial deviation to the terms and conditions of the Request for Proposal, but shall have the purpose of obtaining from the Candidates better conditions in terms of technical quality, implementation periods, payment conditions, etc.

Negotiations may however have the purpose of reducing the scope of the services or revising other terms of the Contract to reduce the proposed remuneration when the proposed remunerations exceed the available budget.

A.12. Award criteria

The Contracting Authority will award the Contract to the Candidate whose proposal has been determined to be substantially responsive to the documents of the Request for Proposal and which has obtained the highest overall score.

A.13. Signature and entry into force of the Contract

Prior to the expiration of the period of the validity of the proposal, the Contracting Authority will inform the successful Candidate in writing that its proposal has been accepted and inform the unsuccessful Candidates in writing about the result of the evaluation process.

Within 5 days of receipt of the Contract, not yet signed by the Contracting Authority, the successful Candidate must sign and date the Contract and return it to the Contracting Authority. On signing the Contract, the successful Candidate will become the Contractor, and the Contract will enter into force once signed by the Contracting Authority.

If the successful Candidate fails to sign and return the Contract within the days stipulated, the Contracting Authority may consider the acceptance of the proposal to be cancelled without prejudice to the Contracting Authority's right to claim compensation or pursue any other remedy in respect of such failure, and the successful Candidate will have no claim whatsoever on the Contracting Authority.

A.14. Cancellation for convenience

The Contracting Authority may for its own convenience and without charge or liability cancel the procedure at any stage.

B. DRAFT CONTRACT (SERVICE)

CONTRACT TITLE: Consultancy Services to hire a consultant to conduct a mid-term evaluation of Increasing Opportunities for Youth Participation and Empowerment (IOYPE) in Karamoja

Instructions to candidates: At this stage of the Request for Proposals this document is for your information and intended to make you aware of the contractual provisions. The information missing in this document will be filled in when a Contractor has been selected, and the “draft” Contract will then become the “final” Contract” between the Contracting Authority and the successful Contractor.

DanChurchAid
Uganda Country Office,
Plot 7 Binayomba Road,
Off-Luthuli Avenue-Bugolobi
P.O. Box 146332, Kampala, Uganda
("The Contracting Authority"),

of the one part,

and

Name and address of successful candidate
("The Contractor")

of the other part,

have agreed as stipulated in the attached documents

The Contract is done in English in an electronic copy for the Contracting Authority and the Contractor.

For the Contractor

For the Contracting Authority

Name:

Name:

Title:

Title:

Signature:

Signature:

Date:

Date:

This Contract shall be signed by the Contractor and returned within five working days from date of receipt.

Special conditions

B.1. Scope of services

The subject of the Contract is Consultancy services to hire a consultant of Increasing Opportunities for Youth Participation and Empowerment (IOYPE) in Karamoja. The “Services” are described in the Terms of Reference and further specified in the Organisation and Methodology, Annex 2.

B.2. Commencement Date

The Contract shall commence after signature of this Contract by both parties.

B.3. Period of implementation

The period of implementation of the services is maximum of twenty-two (22) working days from the commencement date.

B.4. Delivery of Services

The Contractor agrees to deliver Services to the Contracting Authority pursuant to the Contract, which shall conform with the Terms of References, Annex 1, Organisation and Methodology, Annex 2 and the price specified in this Contract.

In the event of the Contracting Authority placing a contract, which the Contractor considers it cannot substantially meet because of unavailability of staff or inability to meet the Terms of References, before proceeding to make a partial delivery of the services, the Contractor shall seek further written instructions from the Contracting Authority.

The Contractor shall cover all costs related to the remedy of an unacceptable Service.

The Contractor shall be responsible for providing all the necessary personnel, equipment, materials and supplies and for making all necessary arrangement for the performance of its obligations under this Contract.

B.5. Remuneration

Global Price

In consideration for his/her services, the Contractor shall receive a global remuneration of (***state the contract amount***). This global remuneration covers the Contractor’s fee rate, including overhead, profit, all his/her obligations, leave, sick leave, overtime and holiday pay, taxes, social charges, etc. and all expenses (such as transport, accommodation, food, office expenses, etc) to be incurred for the performance of the Contract. The global remuneration covers all obligations of the Contractor under the Contract (without depending on actual time spent on the assignment) and all matters and things necessary for the proper execution and completion of the services and the remedying of any deficiencies therein.

B.6. Reporting

The Contractor shall submit reports as specified in the Terms of Reference, Annex 1. The Contractor shall keep the Contracting Authority updated on contract progress on a regular basis.

B.7. Payment

Payments shall be made in Uganda shillings (UGX) by bank transfer to the following account:

Account Number:

Name of Bank:

Address of Bank:

Account name:

Swift Code:

Payment will be made by the Contracting Authority within 30 days from approval by the Contracting Authority and receipt of the Contractor's invoice and other relevant project reports.

B.8. Tax and social contributions

The Contracting Authority shall have no obligation or responsibility in connection with taxes or levies payable by the Contractor in its country of establishment or in the beneficiary country in connection with its performance of this Contract.

B.9. Order of precedence of contract documents

The Contract is made up of the following documents, in order of precedence:

1. This Contract
2. Terms of Reference (Annex 1)
3. Organisation and Methodology (Annex 2)
4. Signed CV. of Contractor and/or key expert(s)
5. Proposal Submission Form (Annex 3)
6. General Terms and Conditions for Service Contracts - Ver3 2020 (Annex 4)
7. Code of Conduct for Contractors (Annex 5)

The various documents making up the Contract shall be deemed to be mutually explanatory; in cases of ambiguity or divergence, they should be read in the order in which they appear above.

B.10. Language

The language of this Contract and of all written communications between the Contractor and the Contracting Authority shall be English.

B.11. Entry into force and duration

The Contract shall enter into force and effect after signature by both parties of this Contract. The Contract shall remain into force and effect until the end of the liability period as defined in the General Terms and Conditions for Service Contracts - Ver3 2020.

B.12. Notices

Any written communication relating to this contract between the Contracting Authority and the Contractor must state the Contract title and Contract number, and must be sent by post, fax, email or by hand to the addresses identified in this Contract.

B.13. Data Protection

If DanChurchAid CVR No. 36980214 is recording and processing personal data (such as names, addresses, emails, telephone number and CVs), the data will be processed solely for the purposes of the management and monitoring of the Quotation and the Contract by the Contracting Authority without prejudice to possible transmission to the bodies in charge of monitoring or inspection tasks in application of EU law. In addition, as and when the contract relates to the Contracting Authority's work outside the EU, transmission of personal data may occur to countries outside of the EU, solely for the purpose of implementing the procurement procedure and the Contract. According to the EU data protection regulation the Candidate has rights related to the information the Contracting Authority processes. Details concerning processing of the Contractor's personal data and rights are available in the Privacy Policy on <https://www.danchurchaid.org/privacy-policy>

ANNEX 1: TERMS OF REFERENCE FOR MIDTERM EVALUATION OF INCREASING OPPORTUNITIES FOR YOUTH PARTICIPATION AND EMPOWERMENT (IOYPE) IN KARAMOJA

Implemented by:



In partnership with



Cooperation and Development and Arid Land Development Program

Funded by:



**MINISTRY OF FOREIGN AFFAIRS
OF DENMARK**
Danida

Organization	DanChurchAid (DCA)
Project Title	Increasing Opportunities for Youth Participation and Empowerment (IOYPE) in Karamoja
Thematic area	Build Resilient Communities
Assignment Task	Conduct Mid-Term Project Evaluation
Assignment Location	Karamoja Subregion (Moroto, Napak, Nakapiripirit, Amudat, Nabilatuk, Abim, Kaabong, Kotido and Karenga Districts)
Reporting To:	Program Manager -Build Resilient Communities
Duration	22 Working days

1. BACKGROUND

1.1 Background of DanChurchAid (DCA) in Uganda

DanChurchAid (DCA) is an international Faith-based NGO registered under the laws of Uganda. It supports the poorest of the world in their struggle for a dignified life and helps those whose lives are threatened. It provides emergency relief in disaster-stricken areas and long-term development assistance in poor regions to create a more equitable and sustainable world. DCA has worked in Uganda since 1979 and the current geographical focus is Karamoja, Teso, West Nile, Bunyoro, and Mid-Central subregions. DCA's Great Lakes Regional Office (DCA-GLRO) in Kampala was established and registered in 2004. DCA Uganda's work focuses on three Global Goals; fighting extreme inequalities (Democratic Governance and Human rights focused) building resilience communities (secure livelihoods), saving lives (humanitarian response).

1.2 Background to the project

The Action, designed by DanChurchAid (DCA), Cooperation and Development (C&D) and Arid Land Development Programme (ADP), aims to directly empower 2,430 youth through increased opportunities for youth participation in policy, decision-making and development processes, sustainable income generation and life skills training across 9 districts of Karamoja sub-region, Uganda (Moroto, Napak, Nakapiripirit, Amudat, Nabilatuk, Abim, Kaabong, Kotido and Karenga). The Action is split into two interrelated areas: a) youth skills development and training and b) youth advocacy and involvement of young people in public affairs that will together target 2,430 youth (1,336 female - 55% and 1,094 male - 45%) aged 16-30 years as defined in Uganda's Youth Policy. A total of 1,350 youth will benefit from skills and vocational training (150 per district) and 1,080 youth will benefit from advocacy training (54 youth groups, i.e. 6 per district and 20 members per group). When these youth acquire and apply relevant skills and have increased access to relevant decent work opportunities, they can sustain their lives and livelihoods economically and contribute to peace and security in Karamoja.

The overall objective of the Action is to empower marginalized youth through increased opportunities for youth participation in policy, decision-making and development processes, sustainable income generation and life skills training across 9 districts of Karamoja sub-region, Uganda (Moroto, Napak, Nakapiripirit, Amudat, Nabilatuk, Abim, Kaabong, Kotido and Karenga).

The specific objectives of the Action are:

1. Youth acquire and apply relevant skills development strategies including business training, marketable and life skills and career guidance for sustainable income generation and better chances in self, semi-formal and formal employment.
2. Youth have increased access to relevant decent work opportunities (vocational training, apprenticeships and employment) in the public sector, private sector and self-employment through more effective and inclusive employment strategies that also contribute towards peace and security in Karamoja.
3. Strengthened rights, voice, advocacy and involvement of young people in public affairs, particularly participation in local economies, societies and decision-making.

4. Innovative multi-stakeholder partnerships to strengthen linkages, collaboration, policy implementation and learning for and between youth, youth groups, District Local Governments (DLGs), Vocational Training Institutes (VTIs) and the private sector.

DanChurchAid is now desirous to commission an independent Mid-term project evaluation of the Action to establish the performance of the Action mid-way the project in order to draw lessons learnt and recommendations on how to improve and efficiently achieve our intended goal.

2. Purpose, Objectives and Evaluation Questions

2.1 The objective of the evaluation

The overall objective of the Mid-term project evaluation is to establish whether the Action is on track and is producing the immediate outputs as stated in the project document, especially on youth employment and participation in advocacy and decision-making processes.

2.2 The purpose

DCA's primary purpose for conducting evaluations is learning, documentation and accountability. Consequently, this evaluation is meant to:

1. Make an overall independent assessment of the performance of the project (i.e. whether the outputs are being achieved and the extent to which these outputs are leading to the expected immediate outcomes).
2. To assess the extent to which the skilled youth are able to apply acquired skills in the job market
3. To assess the extent to which the local actors such as the local governments and private sector are supporting youth skilling and participation in decision-making processes.
4. To identify key lessons and propose practical recommendations for future youth skilling programming.
5. Evaluate the quality of the project and its elements in terms of the following principles:
 - Appropriateness
 - Effectiveness
 - Cost efficiency
 - Impact
 - Sustainability (social, economic, institutional)
 - Community participation, acceptability and opinions.
6. To establish the baseline figures for selected indicators for the first cohort that was trained.

2.3. Standard DCA programme evaluation questions

DCA applies the five basic evaluation criteria defined by OECD/DAC and applied widely in international aid. These criteria are: *relevance, effectiveness, efficiency, impact and sustainability*. In that regard DCA expects the evaluator to translate these criteria into specific questions and those questions should be derived from the project over all log frame.

1. Relevance (Problems and Needs)

The analysis of relevance should focus on the following questions in relation to the design of the project:

- The extent to which stated objectives correctly address the identified problems and social needs of the youth.
- Adequate assessment of local implementation capacity.
- Appropriateness of initial consultation with, and participation by local key stakeholders.
- The quality of the identification of key stakeholders and target groups (including gender analysis and analysis of vulnerable groups) and of institutional capacity issues.
- Stakeholder participation in the management/implementation of the project, the level of local ownership, and issues of absorption capacity;

2. Effectiveness (Achievement of Purpose)

The analysis of Effectiveness should focus on such issues as:

- Whether the planned benefits have been delivered and received.
- Whether actual results match the performance targets set out initially.
- Whether intended beneficiaries participated in the intervention.
- Knowledge, Attitude and Practices (KAP). Whether behavioural patterns have changed in the beneficiary groups at various levels; and how far the changed attitudes have produced the planned improvements. The consultant shall capture quotations of success stories by youth to back up the findings.
- How unintended results have positively or negatively affected the benefits received and how they could have been foreseen and managed.
- Whether any shortcomings were due to a failure to take account of cross-cutting or over-arching issues such as gender, environment and poverty during implementation

3. Efficiency (Sound Management and Value for Money)

The assessment of Efficiency will focus on such issues as:

- The quality of day-to-day management, e.g operational work planning and implementation (input delivery, activity management and delivery of outputs), and management of the budget (including cost control and whether an inadequate budget was a factor); whether management of risk has been adequate, i.e. whether flexibility has been demonstrated in response to changes in circumstances and relations/coordination with local authorities, institutions, beneficiaries, and other donors.
- Extent to which the costs of the project have been justified by the benefits- whether expressed in monetary terms in comparison with similar projects or known alternative

approaches, taking account of contextual differences and eliminating market distortions.

- Technical assistance: how well it helped to provide appropriate solutions and develop local capacities to define and produce results.
- Quality of monitoring: its existence (or not), accuracy and flexibility, and the use made of it; adequacy of baseline information.
- Did any unplanned outputs arise from the activities so far?

4. Impact (Achievement of Wider Effects Impact)

The term impact denotes the relationship between the project's specific and overall objectives. This mid-term review shall therefore try to ascertain the extent to which the effects of the project might or have been facilitated/constrained by project management, by co-ordination arrangements, and by the participation of relevant stakeholders.

5. Sustainability (Likely Continuation of Achieved Results)

The sustainability criterion shall examine whether the positive outcomes of the project and the flow of benefits are likely to continue after the end of the project support (such as: policy, dialogue, coordination). The review should therefore make an assessment of the following issues:

- Institutional capacity, e.g. of the Local governments support and counterpart institutions; the extent to which the project is embedded in local institutional structures; whether counterparts have been properly prepared for taking over, technically, financially and managerially.
- Socio-cultural factors, e.g. how well-accepted are the changes both by the target group and by others; how well it is based on an analysis of such factors, including target group/beneficiary participation in design and implementation; and the quality of relations between the external project staff and local communities.
- Technical (technology) issues, e.g. whether (i) the technology, knowledge, process or service introduced or provided fits in with existing needs, culture, traditions, skills or knowledge.
- Wherever relevant, cross-cutting issues such as gender equity, Rights-Based Approach, environmental impact and good governance; were appropriately accounted for and managed from the outset of the project.
- The interview questions

3. SCOPE

The scope of the Mid-Term project evaluation shall primarily focus on the lower levels of the project logic hierarchy, e.g. inputs, activities, outputs, tentative outcomes and likely impact. It shall cover all the core outputs of the project, i.e.:

- # of youth (disaggregated by gender) trained in entrepreneurship, business and financial literacy skills

- # of youth (disaggregated by gender) linked to business and religious mentors
- # of peer-to-peer coaching groups established
- # of youth (disaggregated by gender) trained in technical (vocational and apprenticeship) skills
- # of youth (disaggregated by gender) achieving DIT certification
- # of field exposure study visits held
- # of trained youth (disaggregated by gender) in public, private and self-employment
- # of VSLAs formed by trained youth
- # of youth (disaggregated by gender) and youth groups trained in advocacy, representation and governance skills
- # of youth (disaggregated by gender) and youth groups participating in advocacy platforms and decision-making forums
- # of youth advocacy networks formed
- # of platforms and decision-making forums
- # of multi-sector forums convened to deliberate on matters of youth employability and participation in local economies, societies and decision-making processes
- # of youth led dialogues convened to bring out and prioritize key issues in the National Youth, TVET and BVET policies
- # of simplified policies translated into local languages and disseminated through media at local level.
- # of youth (disaggregated by gender) accessing employment and income as a result of the interventions, the type of employment and steps taken to obtain the current job.
- For those employed, how often they get employed, how much they get and margin of earning as result of the employment.
- Establish the trends in employment or business from the start and reason for the trends. Establish spending partners.
- # of youth (disaggregated by gender) trained but not employes, reasons for not currently working, challenges and support needed to get employed or establish a business.

7. The evaluation shall also establish the baseline figures for selected indicators for the first cohort that was trained. The evaluation shall focus on the following indicators:

of youth and youth groups reporting increased participation in policy, decision-making and development processes as a result of the Action

of youth accessing skilling and training as a result of this Action

of youth accessing increased sustainable income generation as a result of this Action

of youth engaged in decent work opportunities (vocational training, apprenticeships and

employment in the public sector, private sector and self-employment) ;(Measured as youth earning UGX150,000 and above)

of youth generating income (Measured as trained youth in self-employment, private and public sectors that are earning an income below and above UGX150,000)

of youth and youth groups participating in public affairs, particularly in local economies, societies and decision- making.

of youth linked to business and religious mentors

of peer-to-peer coaching groups established

of youth achieving DIT certification (Measured as youth that sat for the DIT assessment that passed the internal assessment)

of field exposure study visits held

of trained youth in public, private and self-employment

of VSLAs formed by trained youth

of youth and youth groups participating in advocacy platforms and decision-making forums

of multi-sector forums convened to deliberate on matters of youth employability and participation in local economies, societies and decision-making processes

The evaluation approach shall be utilization focused; as such, the consultant(s) undertaking this exercise should arrange meetings with individual/group of youths, VTI staff, DCA partners and local government authorities. The consultant shall disseminate preliminary findings in a mini workshop organized by DCA.

The Mid-Term project evaluation is expected to commence by 12th August,2024. The consultant will visit the nine districts covered by the Action namely, Moroto, Napak, Nakapiripirit, Nabilatuk, Amudat, Karenga, Kotido, Abim and Kaabong districts.

4. Approach And Methodology

It is anticipated that both qualitative and quantitative approaches of data collection will be applied in the review. In addition, the evaluator will use existing literature (such as the Action's progress reports) to enrich the study findings. The methodology must clearly show how both participatory and non-participatory approaches will be used in collecting data and how triangulation will be carried out to refine study findings. The consultant is expected to provide a detailed description of the evaluation methodology in addressing all the components of the terms of reference. The methodology description will constitute a critical part of the assessment and suitability of the consultant/s.

The basis for this review shall be the project master document, including the log frame, the baseline and interim report. The consultant will familiarize with the project document and other relevant documentation. On the basis of that he/she will design an evaluation framework, evaluation questions and evaluation instruments, identifying critical stakeholders, parameters, questions and analytical tools and logistical requirements to measure relevant aspects that respond to the evaluation criteria. The framework and tools will be presented to DCA in an

inception report within two days after commencement of the consultancy. All findings will be systematically recorded, and raw data kept for future reference by various stakeholders.

4.1 Team Composition

It is expected that the consultant will handle the assignment with his/her team. For quality assurance of the data collection exercise and coordination at field level, DCA, C&D and ADP staff shall oversee the exercise at various locations. Nevertheless, the consultant shall take lead in designing and approving the methodology in the targeted areas. This will include review and standardization of the available survey tools and review of available secondary data which will then be incorporated into the final survey report.

4.2 Skills and competencies of the consultant

The following will be expected of the consultant:

- Advanced degree in educational planning/curriculum development, international development, development studies, social sciences or other relevant field.
- Extensive experience and proven record in research and surveys in youth-focused programmes.
- Strong analytical skills and ability to clearly synthesize and present findings, draw practical conclusions, make recommendations and prepare well-written reports in a timely manner.
- Demonstrated experience in both quantitative and qualitative data collection and data analysis techniques,
- Documented experience in participatory project reviews.
- A good appreciation of human rights programming and gender dynamics.
- Good understanding of Karamoja context.
- Excellent written and verbal communication skills
- A minimum of 8 years of experience conducting research-based assignments.

6. Timing and Outputs

6.1 Time Frame

DCA expects the work described above to be performed within a period of twenty-two (22) man-days as per the following timeframe (to be adjusted and mutually agreed with the chosen consultant):

Activities	No. of days
Signing of contract and release of 1 st fund instalment	1
Document review and prep	1
Field work in Karamoja including travel	12
compilation and Analysis	2
Submission of 1st draft	2

Dissemination of review results to stakeholders in each three districts	3
Adjusting and submission of final report	1
Total Working days	22

6.2 Deliverables

In DCA an evaluation consists of a process and a product. The process should involve all stakeholders and be followed by an evaluation event, for example a meeting where findings are presented. Therefore, it is anticipated that the deliverables under this consultancy shall be:

1. An inception report detailing the review approach and methodology
2. An evaluation report prepared following DCA's 1-3-25 format. The first page must contain recommendations for future interventions, the following three pages should contain an executive summary and the evaluation itself should be no more than 25 pages (Times New Roman, font 12).
3. Two (2) hard copies and soft copies of the report. The consultant shall also provide the raw data presented in an Excel spreadsheet for storage and potential other usages by DCA.
4. Dissemination of Review findings to stakeholders in a workshop organized by DCA per district.
5. A summary of the report of not more than five pages to be presented to the senior management.

6.3: Study Reference Documents

The successful consultant (s) will be provided with.

- IYOPE Project Proposals
- DanChurchAid Uganda strategy.
- Project MEAL-plan
- IYOPE baseline Report
- DCA Youth skilling strategy.
- Uganda vision 2030
- Project interim reports and trackers.

Note: The consultant is also expected to review other secondary data and national documents and reports.

6.4: Midterm Evaluation Criteria

The consultant will be expected to submit the technical and financial proposals that will be reviewed and scored basing on the evaluation criteria below.

Evaluation Criteria.	Max. points obtainable
General Understanding of assignment /Terms of Reference <ul style="list-style-type: none"> • The consultant clearly understands the requested tasks (understanding of the objective (s) of the assignment and a description of how the consultant proposes to undertake the assignment) • Completeness of Proposal: the important aspects of the task have been addressed in sufficient detail. 	10 points

<ul style="list-style-type: none"> The scope of tasks is well defined and correspond to the Terms of Reference. 	
<p>Technical Feasibility of proposed methodology and Workplan A description of the approach of execution which the consultant proposes to undertake.</p> <ul style="list-style-type: none"> Adequacy and quality of the proposed methodology, data collection techniques and tools Detailed, logical and realistic workplan which includes clear timelines in undertaking the assignment. Degree to which the proposal demonstrates an understanding of the tasks Degree to which the terms of reference have been addressed in enough detail and an appropriate methodology suggested. A clear and appropriate data analysis plan. Any further value addition within the proposal towards the stated evaluation outcomes. Reliability and validity of the proposed data collection instruments included. Please provide a minimum of two concrete samples of similar work done for other organizations). 	25 points
<p>Experience of the consultant (s)</p> <ul style="list-style-type: none"> Previous experience in conducting project evaluations (baseline, mid-term and endline). No. of similar assignments successfully completed during last 3 years in any Sector other than Relevant Sector. No. of similar assignments successfully completed during last 3 years in Relevant sector. Experience in similar assignments, including evaluating an EU project in the Uganda context. Proven ability to liaise with and manage a broad range of diverse stakeholders: Summary resume(s) of key staff who will work on the assignment. <p><i>Curriculum vitae and certificates must be attached.</i></p>	25 points
<p>Consultant(s) qualification and Competencies</p> <ul style="list-style-type: none"> Team composition (overall team composition is balanced and has an appropriate skill mix, right input, and clear roles of experts). The team members meet the requirements listed in the Terms of Reference, which should be detailed in a CV of no more than 3 pages for each individual. Team Leader: Relevant qualifications and experience of Team Leader. The lead consultant meets the requirements listed in the Terms of Reference, which should be detailed in a CV of no more than 3 pages. <p><i>Academic Certificates of the consultants must be attached.</i></p>	15 points
Financial Proposal	25 points

NB: The total minimum score to be considered technically competent is 70%. If a bid does not meet this minimum, it will be deemed technically non-compliant and will not proceed to the next level.

6.5: Supervision and oversight Reporting

The consultant will be supported by the DCA Program Manager-Build Resilient Communities and directly supervised by the M&E coordinator on all matters relating to the assignment. Additional support will also be provided by the implementing Team at C&D and ADP in the target locations.

Payment Modality

The consultant will receive 40% of the total payment upon submitting the inception report and approval by DCA and the remaining 60 % after the submission and approval of the final report and all deliverables required by DCA.

6.6: Proposal Submission Guidelines

To apply please send:

1. A technical proposal clearly showing your detailed response to TOR, with specific focus addressing the purpose and objectives of the assignment, methodology to be used and key selection criteria (including understanding of the assignment)
2. Company profile and/ or signed CVs of the team leader and other core members on the assignment including 3 references. **Please note that both companies and Individuals working in a team are encouraged to apply for the assignment.**
3. Sample of similar work assignment performed.
4. Initial work plan based on methodology outlined.
5. Financial Proposal: Detailed budget breakdown based on expected daily rates and initial work plan. The proposal should include a reasonable detailed budget to cover all costs associated with the assignment.

6.7: Co ordination

- For technical direction on the Request for Proposal document the consultant shall contact DCA Procurement Manager, Mr. Eligious Singiza at elsi@dca.dk
- For technical direction and coordination the consultant will liaise with the DCA Programme Manager Build Resilient Communities, Mary Bekunda Kobusingye at mbek@dca.dk, and the Head of Programme, Augustine Enyipu at aeny@dca.dk.

How to Apply

Submission

- Proposals **MUST** be submitted **via email only** to elsi@dca.dk on or before the deadline indicated on the front page of the RFP.
- Technical proposal and financial proposal shall be submitted as separate attachment.
- Proposal must be provided in English Language

Any proposals received after the stated time and date will be rejected.

ANNEX 2: ORGANISATION AND METHODOLOGY

To be filled in by the candidates, in compliance with the following instructions:

Rationale

- Any comments on the Terms of Reference of importance for the successful execution of activities, in particular its objectives and expected results, thus demonstrating the degree of understanding of the Contract. Detailed list of inputs, activities and outputs. Any comments contradicting the Terms of Reference or falling outside their scope will not form part of the final Contract.
- An opinion on the key issues related to the achievement of the Contract objectives and expected results
- An explanation of the risks and assumptions affecting the execution of the contract.

Strategy

- An outline of the approach proposed for contract implementation.
- A list of the proposed activities considered to be necessary to achieve the contract objectives.
- The related inputs and outputs.
- In the case of a proposal being submitted by a consortium a description of the input from each of the consortium members and the distribution and interaction of tasks and responsibilities between them.
- If a team of experts: A description of the support facilities (back-stopping) that the team of experts will have from the Candidate during the execution of the Contract.)
- A description of subcontracting arrangements foreseen, with a clear indication of the tasks that will be entrusted to a subcontractor and a statement by the Candidate guaranteeing the eligibility of any subcontractor.)

Timetable of activities

- The timing, sequence and duration of the proposed activities taking into account mobilisation time.
- The identification and timing of major milestones in execution of the Contract, including an indication of how the achievement of these would be reflected in any reports particularly those stipulated in the Terms of Reference.
- Include a programme.

Key experts

- The Candidate shall include a detailed description of the role and duties of each of the key experts or other non-key experts, which the Candidate proposes to use for the performance of the services. The key experts are those whose involvement is considered instrumental in the achievement of the Contract objectives. The CV of each key expert shall be included highlighting his/her experience in the specific field of the services and his/her specific experience in the country/region where the services are to be performed. In addition, the Candidate shall include information on current participation of key experts in other contracts and or commitments to participate in future contracts, including detailed description of their tasks and period of engagement.

Work samples

The team or individual will attach a sample of a previous research report, preferably one conducted for one of the references listed.

ANNEX 3: PROPOSAL SUBMISSION FORM

My financial proposal for my services is as follows:

Global price

	Currency	Amount
Global price (fees and expenses)	UGX	
VAT or other tax on services	UGX	
Total price incl. taxes	UGX	

CANDIDATE OR COMPANY INFORMATION	
Company (legal name)	
Street name and no.	
City	
Postal code	
Country	
Phone no.	
Email	
Website	
Director (name)	

GENERAL COMPANY INFORMATION	
Year of establishment	
Number of full-time employees	
Valid Trading License-please attach.	
Licensing authority	
Licence number (VAT no./TAX id/TIN)	
Tax Registration Certificate - please attach	
Certificate of incorporation-Please attach	
Does your company have CSR related policies in place - e.g. Health, Safety, HR, Energy or Climate policy or is a member of Global Compact? Please state which policies.	
Does your company have a Code of Conduct? -Please attach	

REFERENCES				
Name and country of customer	Type of contract	Value	Contact name	Phone/fax and email

Include details of the experience and past performance on contracts of a similar nature within the past five years and information on other contracts in hand and/or future commitments including details of the actual and effective participation in each of such contracts, description of the Candidate's assignments and periods of engagement. Additional documents can be attached to the above form.

The proposal is valid for a period of 60 days after the closing date in accordance with the article A.9. Validity.

After having read your Request for Proposal for Consultancy services to hire a consultant to conduct a mid-term evaluation of increasing opportunities for youth participation and Empowerment (IYOPE) in Karamoja dated 29th August, 2024, and after having examined the Request for Proposal, I/we hereby offer to execute and complete the services in conformity with all conditions in the Request for Proposal for the sum indicated in our financial proposal.

Further, I/we hereby:

- Accept, without restrictions, all the provisions in the Request for Proposal including the General Terms and Conditions for Service Contracts - Ver3 2020 and the draft Service Contract including all annexes.
- Certify that I/we do not support terrorists or terrorism activities, and do not condone the use of terrorism.
- Provided that a contract is issued by the Contracting Authority I/we hereby commit to perform all services described in the Terms of Reference, Annex 1 within the time frame described in our Organisation and Methodology Form, Annex 2.
- Certify and attest compliance with eligibility criteria of article 33 of the General Terms and Conditions for Service - Ver3 2020.
- Certify and attest compliance with the Code of Conduct for Contractors (Annex 5).

The above declarations will become an integrated part of the Contract and misrepresentation will be regarded as grounds for termination.

Signature:

Date and signed by:

The Candidate

Name of the company

Address

Telephone no.

Email

Name of contact
person

ANNEX 4: GENERAL TERMS AND CONDITIONS FOR SERVICE CONTRACTS – VER3 2020

1. DEFINITIONS

In these general terms and conditions:

- a) "contract" is the agreement entered into by the Contracting Authority and the Contractor for the performance of the services described in the terms of reference, to which these general terms and conditions are made applicable; the contract is constituted of the documents listed in the Service Contract.
- b) The Contracting Authority's "partners" are the organisations to which the Contracting Authority is associated or linked;
- c) "personnel" is any person assigned by the Contractor to the performance of the services or any part hereof, whether through employment, sub-contracting or any other agreement; and "key experts" are those members of the personnel whose involvement is considered instrumental in the achievement of the contract objectives;
- d) "beneficiary country" is the country where the services are to be performed, or where the project to which the services relate is located.

2. RELATIONS BETWEEN THE PARTIES

Nothing contained in the contract shall be construed as establishing a relation of master and servant or of agent and principal as between the Contracting Authority and the Contractor. Except if otherwise provided in the contract, the Contractor shall under no circumstances act as the representative of the Contracting Authority or give the impression that the Contractor has been given such authority. The Contractor has complete charge of the personnel and shall be fully responsible for the services performed by them.

3. SCOPE OF SERVICES

The scope of the services including the methods and means to be used by the Contractor, the results to be achieved by him and the verifiable indicators are specified in the Terms of Reference. The Contractor shall be responsible for everything which is required for the performance of the services in accordance with what is specified in the contract, or which must otherwise be regarded as forming part of the services.

4. COMPLIANCE WITH LAWS AND RESPECT OF TRADITIONS

The Contractor shall respect and abide by all laws and regulations in force in the beneficiary country and shall ensure that its personnel, their dependants, and its local employees also respect and abide by all such laws and regulations. The Contractor shall indemnify the Contracting Authority against any claims and proceedings arising from any infringement by the Contractor, its personnel and their dependants of such laws and regulations.

The Contractor, its personnel and their dependants shall respect human rights and undertake not to offend the political, cultural and religious practices prevailing in the beneficiary country.

5. CODE OF CONDUCT

The Contractor shall at all times act loyally and impartially and as a faithful adviser to the Contracting Authority and shall perform the services with due care, efficiency and diligence, in accordance with the best professional practice.

6. DISCRETION AND CONFIDENTIALITY

The Contractor shall treat all documents and information received in connection with the contract as private and confidential, and shall not, save in so far as may be necessary for the purposes of the performance thereof, publish or disclose any particulars of the contract without the prior consent in writing of the Contracting Authority. It shall, in particular, refrain from making any public statements concerning the project or the services without the prior approval of the Contracting Authority,

7. CONFLICT OF INTEREST

The Contractor shall refrain from engaging in any activity which conflicts with his obligations towards the Contracting Authority under the contract.

The Contractor shall take all necessary measures to prevent or end any situation that could compromise the impartial and objective performance of

the Contract. Such conflict of interests could arise in particular as a result of economic interest, political or national affinity, family or emotional ties, or any other relevant connection or shared interest. Any conflict of interests which could arise during performance of the Contract must be notified in writing to the Contracting Authority without delay. The Contractor shall replace, immediately and without compensation from the Contracting Authority, any member of its personnel exposed to such a situation.

8. CORRUPT PRACTICES

The Contractor and the personnel shall refrain from performing, condoning or tolerating any corrupt, fraudulent, collusive or coercive practices, whether such practices are in relation with the performance of the contract or not. "Corrupt practice" means the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value as an inducement or reward for doing or forbearing to do any act in relation to the contract or any other contract with the Contracting Authority, or for showing favour or disfavour to any person in relation to the contract or any other contract with the Contracting Authority.

The payments to the Contractor under the contract shall constitute the only income or benefit it may derive in connection with the contract and neither it nor its personnel shall accept any commission, discount, allowance, indirect payment or other consideration in connection with, or in relation to, or in discharge of, its obligations under the contract.

The execution of the contract shall not give rise to unusual commercial expenses. Unusual commercial expenses are commissions not mentioned in the contract or not stemming from a properly concluded contract referring to the contract, commissions not paid in return for any actual and legitimate service, commissions remitted to a tax haven, commissions paid to a recipient who is not clearly identified or commission paid to a company which has every appearance of being a front company.

The Contractor further warrants that no official of the Contracting Authority and/or their partner has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract.

9. JOINT VENTURE OR CONSORTIUM

If the Contractor is a joint venture or a consortium of two or more legal persons, all such persons shall be jointly and severally bound to fulfil the terms of the contract. The person designated by the joint venture or consortium to act on its behalf for the purposes of this contract shall have the authority to bind the joint venture or consortium.

For the purposes of performance of the contract, the joint venture or consortium shall act as, and be considered, a single person and in particular, shall have bank account opened in its name, shall submit to the Contracting Authority single guarantees if required, and shall submit single invoices and single reports.

The composition of the joint venture or a consortium shall not be altered without the prior written consent of the Contracting Authority.

10. SPECIFICATIONS AND DESIGNS

The Contractor shall prepare all specifications and designs using accepted and generally recognised systems acceptable to the Contracting Authority and taking into account the latest design criteria.

11. INFORMATION

The Contractor shall furnish the Contracting Authority, or any person authorised by the Contracting Authority with any information relating to the services and the project as the Contracting Authority may at any time request.

12. REPORTS

The frequency, deadlines, format and contents of the reports to be drawn up by the Contractor in relation to the performance of the contract shall be described in the Terms of Reference.

13. CONTRACTOR'S PERSONNEL

13.1. The Contractor shall employ and provide such qualified and experienced personnel as are required to carry out the services, and the Contractor shall be responsible for the quality of the personnel.

The names, outputs, duties and CVs of key experts and the titles, job descriptions, minimum qualifications, estimated periods of engagement in the carrying out of the services of each of the personnel and key experts are described in the Organisation and Methodology part of the contract. The Contractor must inform the Contracting Authority of all non-expert personnel it intends to use for the implementation of the contract. The Contracting Authority shall have the right to oppose the Contractor's choice of personnel.

13.2. No changes shall be made in the personnel without the prior consent of the Contracting Authority. The Contractor shall provide a replacement with at least equivalent qualifications and experience and acceptable to the Contracting Authority if:

a) on account of death, sickness or accident, a member of the Personnel is unable to continue providing his services,

b) any member of the personnel is found by the Contracting Authority to be incompetent in discharging or unsuitable for the performance of his duties under the Contract,

c) for any reasons beyond the control of the Contractor, it becomes necessary to replace any member of the Personnel.

The request for replacement must be made in writing and state the reason therefore. The Contractor shall proceed swiftly with the request and propose a replacement with at least equivalent qualifications and experience. The remuneration to be paid to the replacement cannot exceed that received by the replaced member of the personnel.

Failure by the Contractor to propose a replacement for a key expert satisfactory to the Contracting Authority, shall give the right to the Contracting Authority to terminate the contract.

Additional costs arising out of a replacement shall be borne by the Contractor.

13.3. Working hours

The days and hours of work of the Contractor or/and its personnel in the beneficiary country shall be fixed on the basis of the laws, regulations and customs of the beneficiary country and the requirements of the services.

13.4. Leave entitlement

Any taking of holiday leave by the personnel during the period of implementation of the contract must be at a time approved by the Contracting Authority.

Overtime, sick leave, pay and holidays leave pay are deemed to be covered by the Contractor's remuneration.

14. SUB-CONTRACTING

Except from the subcontractors listed in the contract, the Consultant shall not subcontract to nor engage another independent contractor to perform any part of the services without the prior written consent of the Contracting Authority. Subcontractors must satisfy the eligibility criteria applicable for the award of the contract.

The Contracting Authority shall have no contractual relations with the subcontractors. The provisions of the contract, including these general terms and conditions, and in particular article 13.2 shall, where practicable, apply to the subcontractors and their personnel.

15. LIABILITY

At its own expense, the Contractor shall indemnify, protect and defend, the Contracting Authority, its agents and employees, from and against all actions, claims, losses or damages arising from any act or omission by the Contractor in the performance of the services, including any violation of any

legal provisions, or rights of third parties, in respect of patents, trademarks and other forms of intellectual property such as copyrights.

Approval by the Contracting Authority of the Contractor's reports and issue of Completion Certificate shall not relieve the Contractor of its liability and shall not prevent the Contracting Authority from claiming damages.

The Contractor shall remain liable for any breach of its obligations under the contract for such period after the services have been performed as may be determined by the law governing the contract (the "liability period"). This time limit does not however apply when the damage arises from gross negligence or wilful misconduct of the Contractor.

During the liability period, or as soon as practicable after its expiration, the Contractor shall, at its expense, upon instruction of the Contracting Authority, remedy any deficiencies in the performance of the services. In case of default on the part of the Contractor to carry out such instructions, the Contracting Authority shall be entitled to hire another contractor to carry out the same, at the Contractor's expense.

16. INSURANCE

Within 20 days of signing the contract, the Contractor shall take out and maintain, at its own cost, a full indemnity insurance policy covering its professional liability under the contract and article 15 above, from the commencement date and until the end of the liability period.

Within 20 days of signing the contract, the Contractor shall take out and maintain a full indemnity insurance policy for a sum up to the higher of the maximum amount foreseen by the legislation of the country of the Contracting Authority and the amount foreseen by the legislation of the country in which the Contractor has its headquarters and covering, during the period of implementation of the contract, the following risks:

- a) loss of or damage to property purchased with funds provided under the contract, or produced by the Contractor;
- b) loss or damage to equipment, material and office facilities made available to the Contractor by the Contracting Authority;
- c) civil liability for accidents caused to third parties arising out of acts performed by the Contractor, its personnel and their dependents;
- d) employer's liability and workers' compensation in respect of the personnel as well as sickness, accident or death affecting the personnel and their dependents, including the cost of repatriation on health grounds;
- e) such other insurance as required by the laws in force in the beneficiary country.

Prior to the commencement date, the Contractor shall provide evidence to the Contracting Authority that the above insurances have been effectuated. During execution of the contract, the Contractor shall, when required, provide the Contracting Authority with copies of the insurance policies and the receipts for payment of premiums.

17. INTELLECTUAL AND INDUSTRIAL PROPERTY RIGHTS

All reports and data such as maps, diagrams, drawings, specifications, plans, statistics, calculations, databases, software and supporting records or materials acquired, compiled or prepared by the Contractor in the performance of the contract shall, with the copyright thereto, be the absolute property of the Contracting Authority. The Contractor shall, upon completion of the contract, deliver all such documents and data to the Contracting Authority. The Contractor may not retain copies of such documents and data and shall not use them for purposes unrelated to the contract without the prior written consent of the Contracting Authority.

The Contractor shall not publish articles relating to the services or refer to them when carrying out any services for others, or divulge information obtained from the Contracting Authority, without the prior written consent of the Contracting Authority.

18. RECORDS

The Contractor shall keep separate, accurate and systematic records and accounts in respect of the services

in such form and detail as is customary in the profession and sufficient to establish accurately that the number of working days and the actual reimbursable expenditure identified in the Contractor's invoice(s) have been duly incurred for the performance of the services.

For a fee-based contract, timesheets recording the days worked by the Contractor's personnel must be maintained by the Contractor. The timesheets must be approved by the Contracting Authority or any person authorised by the Contracting Authority or the Contracting Authority itself on a monthly basis. The amounts invoiced by the Contractor must correspond to these timesheets. In the case of long-term experts, these timesheets must record the number of days worked. In the case of short-term experts, these timesheets must record the number of hours worked. Time spent travelling exclusively and necessarily for the purpose of the Contract may be included in the numbers of days or hours, as appropriate, recorded in these timesheets.

Such records must be kept for a 7-year period after the final payment made under the contract. These documents comprise any documentation concerning income and expenditure and any inventory, necessary for the checking of supporting documents, including timesheets, plane and transport tickets, pay slips for the remuneration paid to the experts and invoices or receipts for reimbursable expenditure. Failure to maintain such records constitutes a breach of contract and will result in the termination of the contract.

19. OBLIGATIONS OF CONTRACTING AUTHORITY

19.1. The Contracting Authority shall provide the Contractor as soon as possible with any information and/or documentation at its disposal which may be relevant to the performance of the contract. On all matters properly referred to it in writing by the Contractor, the Contracting Authority shall give its decisions so as not to delay the services, and within a reasonable time.

19.2. The contract shall specify whether the Contracting Authority is to provide the Contractor with equipment, facilities, counterpart personnel or specific assistance, and shall detail under which conditions. If the provision of such agreed counterpart personnel, equipment, facilities and assistance is delayed or not forthcoming, the Contractor shall endeavour to perform the Services as far as is possible. The parties shall agree on how the affected parts of the services shall be carried out, and the additional payments, if any is due, to be made by the Contracting Authority to the Contractor as a result of additional expenditures.

20. CONTRACT PRICE AND PAYMENTS

Contracts are either "global price" or "fee-based".

20.1. Fee-based contract

In consideration of the services performed by the Contractor under the contract, the Contracting Authority shall make to the Contractor such payments of fees and such reimbursement of costs as provided in the contract.

Fees shall be determined based on time actually spent by the key experts in the performance of services at the fee rates specified in the contract. Fee rates are deemed to remunerate all the activities of the Contractor in the performance of the services and to cover all expenses and costs incurred by the Contractor which are not included in the agreed reimbursable costs.

The Contracting Authority shall reimburse to the Contractor the reimbursable costs and expenses specified in the contract, actually and reasonably incurred in the performance of the services.

Costs and expenses which are not mentioned in the contract shall be deemed covered by the overhead of profit included in the fees.

The currency of payments of fees and reimbursable costs and applicable exchange rates are set out in the contract.

20.2. Global price contract

The global price covers both the Contractor's and its personnel's fees and all expenses to be incurred for the performance of the contract. The global price is in consideration for all obligations of the Contractor under the

contract and all matters and things necessary for the proper execution and completion of the services and the remedying of any deficiencies therein.

20.3. Revision

Unless otherwise stipulated in the contract, the global price of a global price contract and the fee rates of a fee-based contract shall not be revised.

20.4. Guarantees

In the case an advance payment for fees and for reimbursable costs (fee-based contract) or a pre-financing payment (global price contract) is agreed in the contract, its payment by the Contracting Authority shall be subject to the prior presentation by the Contractor to the Contracting Authority of an approved performance security, advance payment or pre-financing guarantee, if so agreed and under the conditions specified in the Service Contract.

20.5. Conditions of Payment

Payments will be made by the Contracting Authority with the frequency, instalments, time limits, amounts and currencies, and under the conditions, in particular on the contents of invoices, specified in the special conditions of the contract. Payment of the final balance shall be subject to performance by the Contractor of all its obligations under the contract and the issue by the Contracting Authority of the completion certificate described in article 25.

20.6. Late payment

If the time periods laid down for payments by the Contracting Authority have been exceeded by more than two months and where the Contracting Authority cannot invoke a case of suspension or withholding of payments provided for in these terms and conditions, the Contractor may claim interest calculated on any amount due, prorata on the number of days of delay at the official bank rate of the beneficiary country (if amounts due are in the currency of that country), or at the rate applied by the European central bank (where amounts due are in Euro), plus 2% per year.

21. DELAYS IN PERFORMANCE

If the Contractor does not perform the services within the period of implementation specified in the contract, the Contracting Authority shall, without formal notice and without prejudice to its other remedies under the contract, be entitled to liquidated damages for every day, or part thereof, which shall elapse between the end of the period of implementation specified in the contract and the actual end of the period of implementation.

The daily rate for liquidated damages is calculated by dividing the contract value by the number of days of the period of implementation. If these liquidated damages exceed more than 15% of the contract value, the Contracting Authority may, after giving notice to the Contractor:

- a) terminate the contract; and
- b) complete the services at the Contractor's own expense

22. BREACH OF CONTRACT

Either party commits a breach of contract where it fails to discharge any of its obligations under the contract.

Where a breach of contract occurs, the party injured by the breach shall be entitled to the following remedies:

- a) liquidated damages; and/or
- b) termination of the contract.

In any case where the Contracting Authority is entitled to damages, it may deduct such damages from any sums due to the Contractor or call on the appropriate guarantee.

The Contracting Authority shall be entitled to compensation for any damage which comes to light after the contract is completed in accordance with the law governing the contract.

23. SUSPENSION OF PERFORMANCE

The Contractor shall, on the request of the Contracting Authority, suspend the performance of the services or any part thereof for such time and in such

manner as the Contracting Authority may consider necessary.

In such event of suspension, the Contractor shall take immediate action to reduce the costs incident to the suspension to a minimum. During the period of suspension, and except where the suspension is due to any default of the Contractor, the Contractor shall be reimbursed for additional costs reasonably and necessarily incurred by it as a result of the suspension.

24. AMENDMENT OF THE CONTRACT

Substantial modifications to the contract, including modifications to the total contract amount, must be made by means of an addendum.

25. Completion Certificate

Upon completion of the services, and once (a) the Contracting Authority has approved the Contractor's completion report, (b) the Contracting Authority has approved the Contractor's final invoice and final audited statement, the Contracting Authority shall deliver a completion certificate to the Contractor.

26. TERMINATION BY THE CONTRACTING AUTHORITY

26.1 The Contracting Authority may terminate the contract after giving a 7 days' notice to the Contractor in any of the following cases:

- a) the Contractor is in breach of its obligations under the contract and/or fails to carry out the services substantially in accordance with the contract;
- b) the Contractor fails to comply within a reasonable time with the notice given by the Contracting Authority requiring it to make good the neglect or failure to perform its obligations under the contract which seriously affects the proper and timely performance of the services;
- c) the Contractor refuses or neglects to carry out instructions given by the Contracting Authority;
- d) the Contractor's declarations in respect of its eligibility (article 33) and/or in respect of article 31 and article 32, appear to have been untrue, or cease to be true;
- e) the Contractor takes some action without requesting or obtaining the prior consent of the Contracting Authority in any case where such consent is required under the contract;
- f) any of the key experts is no longer available, and the Contractor fails to propose a replacement satisfactory to the Contracting Authority;
- g) any organisational modification occurs involving a change in the legal personality, nature or control of the Contractor or the joint venture or consortium, unless such modification is recorded in an addendum to the contract;
- h) the Contractor fails to provide the required guarantees or insurance, or the person providing the underlying guarantee or insurance is not able to abide by its commitments.

26.2 Termination by Contracting Authority for convenience

The Contracting Authority may terminate the contract in whole or in part for its convenience, upon not less than 14 days' notice. The Contracting Authority shall not use this right of termination in order to arrange for the services to be executed by another contractor, or to avoid a termination of the contract by the Contractor.

27. TERMINATION BY THE CONTRACTOR

The Contractor may terminate the contract after giving a 7 days' notice to the Contracting Authority in any of the following cases:

- a) the Contractor has not received payment of that part of any invoice which is not contested by the Contracting Authority, within 90 days of the due payment date,
- b) the period of suspension of the performance of the contract under article 23 has exceeded six months;
- c) the Contracting Authority is in material breach of its obligations under the Contract and has not taken any actions to remedy the same within 30 days following the receipt by the Contracting Authority of the Contractor's notice specifying such breach.

If the Contractor is a natural person, the contract shall be automatically terminated if that person dies.

28. RIGHTS AND OBLIGATIONS UPON TERMINATION

28.1. Upon termination of the contract by notice of either party to the other, the Contractor shall take immediate steps to bring the services to a close in a prompt and orderly manner and in such a way as to keep costs to a minimum.

28.2. If the Contracting Authority terminates the contract in accordance with article 26.1 it may, thereafter, complete the services itself, or conclude any other contract with a third party, at the Contractor's expense.

The Contracting Authority shall, as soon as is possible after termination, certify the value of the services and all sums due to the Contractor as at the date of termination. It shall, subject to article 28.1 and 28.3, make the following payments to the Contractor:

- (a) remuneration pursuant to the contract for services satisfactorily performed prior to the effective date of termination;
- (b) reimbursable costs (if fee-based contract) for costs actually incurred prior to the effective date of termination;
- (c) except in the case of termination pursuant to article 26.1 reimbursement of any reasonable cost incident to the prompt and orderly termination of the contract;
- (d) in case of termination under article 26.2 and 27, reimbursement for the actual and reasonable costs incurred by the Contractor as a direct result of such termination and which could not be avoided or reduced by appropriate mitigation measures.
The Contractor shall not be entitled to claim, in addition to the above sums, compensation for any loss or injury suffered.

28.3. In case of termination of the contract for any reason whatsoever, any pre-financing guarantee which might have been granted to the Contracting Authority under article 20.4, may be invoked forthwith by the Contracting Authority in order to repay any balance still owed to the Contracting Authority by the Contractor, and the guarantor shall not delay payment or raise objection for any reason whatever.

28.4. If the Contracting Authority terminates the contract under article 26.1, it shall be entitled to recover from the Contractor any loss it has suffered up to that part of the contract value which corresponds to that part of the services which has not, by reason of the Contractor's default, been satisfactorily completed.

29. FORCE MAJEURE

Neither party shall be considered to be in breach of its obligations under the contract if the performance of such obligations is prevented by any circumstances of force majeure which arise after the date of signature of the contract by both parties.

The term "force majeure", as used herein shall mean acts of God, strikes, lock-outs or other industrial disturbances, acts of the public enemy, wars, whether declared or not, blockades, insurrection, riots, epidemics, landslides, earthquakes, storms, lightning, floods, washouts, civil disturbances, explosions, and any other similar unforeseeable events, beyond the control of either party and which by the exercise of due diligence neither party is able to overcome.

A party affected by an event of force majeure shall take all reasonable measures to remove such party's inability to fulfil its obligations hereunder with a minimum of delay.

If either party considers that any circumstances of force majeure have occurred which may affect performance of its obligations it shall notify the other party immediately giving details of the nature, the probable duration and likely effect of the circumstances. Unless otherwise directed by the Contracting Authority in writing, the Contractor shall continue to perform its obligations under the contract as far as is reasonably practicable and shall seek all reasonable alternative means for performance of its obligations which are not prevented by the force majeure event. The Contractor shall not put into effect such alternative means unless directed so to do by the Contracting Authority.

30. APPLICABLE LAW AND DISPUTES

The contract is governed by and shall be construed in accordance with the laws of the Contracting Authority's country.

Any dispute or breach of contract arising under this contract shall be solved amicably if at all possible. If not possible and unless provided in the Service Contract, it shall be settled finally by court decision, which shall be held under the law of the Contracting Authority's country. Any ruling by the court will be final and directly executable in the country of the Contractor.

31. HUMAN RIGHTS AND LABOUR RIGHTS

The Contractor warrants that it, and its affiliates, respect and uphold Human- and Labour Rights defined in national law and in the UN Universal Declaration of Human Rights (1948) and the International Labour Organization Declaration on Fundamental Principles and Rights at Work (1998). Furthermore, the Contractor (and each member of a joint venture or a consortium) warrants that it and its affiliates comply with the UN Convention on the Rights of the Child - UNGA Doc A/RES/44/25 (12 December 1989) with Annex – and that it or its affiliates has not made or will not make use of forced or compulsory labour as described in the Forced Labour Convention C29 and in the Abolition of Forced Labour Convention C105 of the International Labour Organization. Furthermore, the Contractor warrants that it, and its affiliates, respect and uphold basic social rights and working conditions for its employees. Any breach of this representation and warranty, in the past or during the performance of the contract, shall entitle the Contracting Authority to terminate this contract immediately upon notice to the Contractor, at no cost or liability for the Contracting Authority.

32. MINES AND OTHER WEAPONS

The Contractor (and each member of the joint venture or a consortium) warrants that it and its affiliates is NOT engaged in any development, sale, manufacture or transport of anti-personnel mines and/or cluster bombs or components utilized in the manufacture of anti-personnel mines and/or cluster bombs. Furthermore, the Contractor warrants that it and its affiliates are NOT involved in the sale and/or production of weapons which feed into violations of International Humanitarian Law covered by the Geneva Conventions I-IV and Additional Protocols; and the UN Convention on Certain Conventional Weapons (1980). Any breach of this representation and warranty shall entitle the Contracting Authority to terminate this contract immediately upon notice.

33. INELIGIBILITY

By signing the purchase order, the Contractor (or, if a joint venture or a consortium, any member thereof) certifies that they are NOT in one of the situations listed below:

- (a) They are bankrupt or being wound up, are having their affairs administrated by courts, have entered into an agreement with creditors, have suspended business activities, are the subject of proceedings concerning house matters, or are in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
- (b) They have been convicted of an offence concerning their professional conduct by a judgement that has the force of *res judicata*;
- (c) They have been guilty of grave professional misconduct proven by any means that the Contracting Authority can justify;
- (d) They have not fulfilled obligations relating to the payment of social security contributions or payment of taxes in accordance

with the legal provisions of the country in which they are established or with those of the country of the Contracting Authority or those of the country where the contract is to be performed;

- (e) They have been the subject of a judgement that has the force of *res judicata* for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the Contracting Authority or the European Communities' financial interests;
- (f) Following another procurement procedure or grant award procedure financed by the European Community budget or following another procurement procedure carried out by the Contracting Authority or one of their partners, they have been declared to be in serious breach of contract for failure to comply with their contractual obligations.
- (g) He has been guilty of creating an entity under a different jurisdiction with the intent to circumvent fiscal, social or any other legal obligations of mandatory application in the jurisdiction of his registered office, central administration or principal place of business.
- (h) They are involved in terrorism activities, providing support to individuals or organizations that support terrorism activities, condone the use of terrorism or involved in the provision of arms to individuals or organizations involved in terrorism.
- (i) They are on a list of sanctioned parties issued by United States government, UN, EU or other government issued terrorism and sanction lists.

34. CHECKS AND AUDITS

The Contractor shall permit the Contracting Authority or its representative to inspect, at any time, records including financial and accounting documents and to make copies thereof and shall permit the Contracting Authority or any person authorized by it, including the European Commission, the European Anti-Fraud Office and the Court of Auditors in case the contract is financed by the European Community budget, at any time, to have access to its financial accounting documents and to audit such records and accounts both during and after the provision of the services. In particular, it may carry out whatever documentary or on-the-spot checks it deems necessary to find evidence in case of suspected unusual commercial expenses

35. LIABILITY

Under no circumstances or for no reason whatsoever will the Back donor entertain any request for indemnity or payment directly submitted by the (Contracting Authority's) Contractors.

36. DATA PROTECTION

If the Contracting Authority is subject to EU Directive 95/46/EC (General Data Protection Regulation) and the Contractor is processing personal data in the context of submitting an offer (e.g. CVs of both key and technical experts) and/or implementation of a contract (e.g. replacement of experts) the Contractor shall do so accordingly to EU Directive 95/46/EC (General Data Protection Regulation) and inform the data subjects of the details of the processing and communicate the Contracting Authority's Privacy Policy to them.



CODE OF CONDUCT FOR CONTRACTORS

ETHICAL PRINCIPLES AND STANDARDS

By this Code of Conduct, the Contracting Authority outlines the ethical principles and standards which contractors are required to follow and uphold. The Contracting Authority is a rights-based organisation that works for people's rights to a dignified life and equality and we expect our contractors to act in a socially responsible manner, with respect for human and Labour rights and the environment.

This Code of Conduct are aligned with recommendations from the Danish Ethical Trading Initiative (DIEH)¹, the UN Global Compact principles² and ECHO's Humanitarian Aid Guidelines for Procurement 2011³.

General Conditions

The Code of Conduct is applicable for all contractors who supply goods, services and works to our operations and projects. It defines the expectations to contractors to act in accordance with applicable law and to conduct themselves responsibly, ethically and with integrity. This includes taking appropriate due diligence measures towards minimising adverse impacts on human- and labour rights, environment and anti-corruption principles. By signing the Code of Conduct contractors agree to ensure due diligence and placing ethics central to their business.

The provision of the ethical standards constitutes minimum rather than maximum standards. International and national laws shall be complied with, and where the provisions of law and the Contracting Authority's standards address the same subject, the highest standard shall apply.

It is the responsibility of the contractor to assure that their contractors and subcontractors comply with the ethical requirements and standards set forth in this Code of Conduct.

The Contracting Authority acknowledge that implementing ethical standards and ensuring ethical behaviour in our supply chain is a continuous process and a long-term commitment for which we also have a responsibility. To achieve high ethical standards, we are willing to engage in dialogue and collaboration with our contractors. In addition, we expect our contractors to be open and willing to engage in dialogue.

Unwillingness to co-operate or serious violations of the Code of Conduct will lead to rejection of bids or termination of contracts.

Human Rights and Labour Rights

Contractors must protect and promote human- and labour rights and work actively to address issues of concern as they arise. As a minimum they are

required to comply with national laws and actively work to secure alignment to international Human and Labour Rights standards and frameworks;

Respect for Human- and Labour Rights (The International Bill of Human Rights, ILO Declaration on Fundamental Principles and Rights at Work and the UN Guiding Principles on Business and Human Rights):

The basic principles of the International Bill of Human Rights are that all human beings are born free and equal in dignity and in rights within all spheres of life. Everyone has the right to life, liberty, dignity, freedom and security of the person. Contractors must not flaunt their responsibility to uphold and promote such rights toward employees, contractors, subcontractors and the community in which they operate.

Non-exploitation of Child Labour (UN Child Convention on the Rights of the Child, and ILO C138 & C182):

Contractors must not engage in the exploitation of child labour⁴ and contractors must take the necessary steps to prevent the employment of child labour. A child is defined as a person under the age of 18 and children shall not be engaged in labour that compromise their health, safety, mental and social development, and schooling. Children under the age of 15 (in developing countries 14) may not be engaged in regular work, but children above the age of 13 (in developing countries 12) can be engaged in light work if it does not interfere with compulsory schooling and is not harmful to their health and development.

Employment is freely chosen (ILO C29 & C105):

Contractors must not make use of forced or bonded labour and must respect workers freedom to leave their employer.

Freedom of association and the right to collective bargaining (ILO C87, C98 & C154):

Contractors must recognise workers right to join or form trade unions and bargain collectively and should adopt an open attitude towards the activities of trade unions (even if this is restricted under national law).

Living wages are paid (ILO C131):

As a minimum, national minimum wage standards or ILO wage standards must be met by contractors. Additionally, a living wage must be provided. A living wage is contextual, but must always meet basic needs such as food, shelter, clothing, health care and schooling, and provide a discretionary income⁵.

Non-discrimination in employment (ILO C100 & C111 and the UN Convention on Discrimination against Women):

¹ <https://www.dieh.dk/om-dieh/etisk-handel/hvordan-etisk-handel/dieh-guidelines/>

² <https://www.unglobalcompact.org/what-is-gc/mission/principles>

³ http://ec.europa.eu/echo/files/partners/humanitarian_aid/Procurement_Guidelines_en.pdf

⁴ The definition of Child Labour can be found at: <https://www.unglobalcompact.org/what-is-gc/mission/principles/principle-5> and

https://www.ilo.org/dyn/normlex/en/f?p=NORMLEXPUB:12100:0::NO::P12100_ILO_CODE:C138

⁵ Discretionary income is the amount of an individual's income that is left for spending, investing, or saving after taxes and personal necessities (such as food, shelter, and clothing) have been paid.

Contractors must not practice discrimination in hiring, salaries, job termination, retiring, and access to training or promotion - based on race, national origin, caste, gender, sexual orientation, political affiliation, disability, marital status, or HIV/AIDS status.

No harsh or inhumane treatment of employees (ILO C105):

The use of physical abuse, disciplinary punishment, sexual abuse, the threat of sexual and physical abuse, and other forms of intimidation and abuse may never be practiced by contractors.

Working conditions are safe and hygienic (ILO C155 & C168):

Contractors shall provide safe and hygienic working conditions for its employees and put in place adequate measure to prevent accidents and injury to health associated with or occurring in the course of work.

Working hours are not excessive (ILO C1, C14, C30 & C106):

Contractors must ensure that working hours comply with national law and international standards. A working week of 7 days should not exceed 48 hours and employees must have one day off per week. Overtime shall be compensated, limited and voluntary.

Regular and contractual employment (ILO C143, C183 & C132):

All work performed must be on the basis of a recognised employment relationship via written contracts, established through international conventions and national laws. Contractors shall provide leave, benefit and employment protection, and protect vulnerable group's regular employment under these laws and conventions.

International Humanitarian Law

Contractors linked to armed conflicts or operating in armed conflict settings shall respect civilian's rights under International Humanitarian Law and not be engaged in activities which directly or indirectly initiate, sustain, and/or exacerbate armed conflicts and violations of International Humanitarian Law⁶ as defined in the Geneva Conventions I-IV and Additional Protocols. Contractors are expected to take a 'do no harm' approach to people affected by armed conflict.

Non-Involvement in Weapon- and Criminal Activities

The Contracting Authority advocates for the Ottawa Convention against landmines and the Convention on Cluster Munitions. Contractors shall not engage in any development, sale, manufacturing or transport of anti-personnel mines, cluster bombs or components, or any other weapon which feed into violations of International Humanitarian Law covered by the Geneva Conventions and Protocols.

Contractors shall not be engaged in any illegal or criminal activity and must never be associated with, provide support to or be involved in any terrorist activities.

Protection of the Environment

The Contracting Authority wishes to minimise the environmental damages applied to nature via our procurement activities and we expect our suppliers and contractors to act in an environmentally responsible manner. This involves respecting applicable national and international environmental legislation and acting in accordance with the Rio Declaration on Environment and Development. As a minimum, contractors must never support or be involved in illegal foresting and shall actively address issues

related to proper waste management, ensuring recycling, conservation of scarce resources and efficient energy use.

Anti-Corruption

Corruption is by the Contracting Authority defined as the misuse of entrusted power for private gain and it includes bribery, fraud, embezzlement and extortion. The Contracting Authority holds a great responsibility to avoid corruption and ensure high standards of integrity, accountability, fairness and professional conduct in our business relations. Contractors are expected to have the same approach by undertaking good and fair business ethics and practices, take action to prevent and fight corruption, and abide by international conventions as well as international and national laws.

Complaints

Contractors and contractor's employees who are confronted with corrupt practices, violations of human- or labour rights, or any of the standards laid down in this Code of Conduct, are encouraged to file a complaint with the Contracting Authority⁷.

⁶ This includes pillage/looting which is the unlawful taking of private property for personal or private gain based on force, threats, intimidation, pressure and through a position of power accomplished due to the surrounding conflict.

⁷ DCA's Complaint Handling System is accessed on our website.