

ANNEX US4 – USAID RULES AND REGULATIONS MATRIX

As a non-US based NGO, DCA will follow the guidelines in the box below.

| Rules and Regulations ¹ | Topic | USAID US based | USAID Non-US based | USAID/OFDA |
|------------------------------------|--|----------------------------------|---|---|
| Administrative Requirements | | 2 CFR 200 Subpart B-D, 2 CFR 700 | Mandatory and Required as Applicable Standard Provisions for non-US organisations | Award Specific; Mandatory and Required as Applicable Std. Provisions |
| | Financial Management | 2 CFR 200.300-309 | M1, 2, 3, RAA1 or 2 | M1, 2, 3, RAA1 or 2 |
| | Property Management | 2 CFR 200-310-316 | M7 | 22 CFR 226.30-37; ADS 303 and M7 |
| | Procurement Management | 2 CFR 200.317-326 | M5 | M5 |
| | Cost Sharing | 2 CFR 200.306 | RAA14 | ADS 303.3.10, RAA14, 2 CFR 200.306, 2 CFR 700.10 |
| | Program Income | 2 CFR 200.307 | RAA15 | ADS 303, RAA15, 2 CFR 200.306, 2 CFR 700.10 |
| | Budget Revisions | 2 CFR 200.308 | M3 | M3 |
| | Reporting Period of Availability of Funds | 2 CFR 200.327 | Award Specific M1 | ADS 303 M1 |
| | Close Out | 2 CFR 200.343 | Award Specific | Award Specific |
| | Suspension and Termination | 2 CFR 200.95 and 2 CFR 200.213 | M10 | M10 |
| Continuing Responsibilities | 2 CFR 200.344 | M2a | M2a | |
| Source and Nationality | | 22 CFR 228 | 22 CFR 228, M6 | 22 CFR 228 |
| Cost Principles | | 2 CFR 200 Subpart E | 2 CFR 200 Subpart E | 2 CFR 200 Subpart E |
| Audit Requirements | | 2 CFR 200 Subpart F | M2, 2 CFR 200 Subpart F and USAID Financial Audit Guide for Foreign Organizations | M2, 2 CFR 200 Subpart F and USAID Financial Audit Guide for Foreign Organizations |

OMB Circular A-110 is the background document for 22 CFR 226, which has been replaced by 2 CFR 200 subpart A-D. The Mandatory Provisions are always a part of the award. Required As Applicable (RAA) Standard Provisions are only a part of the award if the provision is relevant to the project.

¹ As per May 2019